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TO: EXAMINER

JAMES H. ZURITA

(571) 273-8300 (FAX)

FROM: JOHN T. PIENKOS

APPLICANT, U.S. PATENT

APPLICATION NO. 09/724,744

(414) 228-6881 (FAX)

DATE: SEPTEMBER 6, 2006

RE: SUPPLEMENTAL IDS

(7 pages  
including  
cover sheet)

SEP 07 2006

PATENT

I hereby certify that this correspondence is being faxed to Examiner James Zurita at the U.S. Patent and Trademark Office at (571) 273-8300 on the following date: 9/6/06 J.P.K.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pienkos, John T.  
Serial No.: 09/724,744  
Filed: November 28, 2000  
For: METHOD AND SYSTEM FOR FACILITATING THE TRANSFER OF  
INTELLECTUAL PROPERTY  
Grp. Art Unit: 3625

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**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The Applicant recently received the enclosed documents and, although the Applicant suspects that the information in the documents are substantially duplicative of information previously submitted to the Patent Office in connection with the present Application, the Applicant in the interests of meeting the duty of disclosure nevertheless is submitting the documents herewith.

In particular, the enclosed documents are being submitted in the above-identified Application in compliance with 37 CFR 1.97 & 1.98:

- ☐ (1) Pursuant to 37 CFR 1.97(b)  
(within 3 months of filing or prior to mailing of 1<sup>st</sup> Office Action)
- ☒ (2) Certification Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ (3) Fee Payment Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- ☐ (4) Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) (before issue fee payment)
- ☒ (5) A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ (6) 37 CFR 1.97(b) (within 3 months of filing or prior to 1<sup>st</sup> Office Action)

- ☒ (7) 37 CFR 1.97(c) (before Final Office Action or Allowance, whichever is earlier); and
- ☒ (8) The required certification made in item 11 below; or
- ☐ (9) The \$240.00 fee specified in 36 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ (10) 37 CFR 1.97(d) (before issue fee payment); and
- [a] (This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$180.00 required by 37 CFR 1.17(p) is authorized in item 14 below.
- [b] The required Certification is stated in item 11 below.
- ☒ (11) Certification
- ☐ (12) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☒ (13) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ (14) No fees are believed to be due with respect to the submission of this Supplemental Information Disclosure Statement.

Respectfully submitted,

By: 

John T. Pienkos  
(414) 228-6881

PTO/SB/08b (07-05)

Approved for use through 06/30/2008, OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

of

Complete If Known

Application Number

09/721,744

Filing Date

11/28/00

First Named Inventor

JOHN T. PIENKOS

Art Unit

3825

Examiner Name

JAMES ZURITA

Attorney Docket Number

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Letter dated 8/31/06 from Inventors Publishing & Research and attached article republished from Forbes.com entitled "Inventors Publishing & Research Achieves Rare Invention Licensing 'Hat Trick'", originally published on July 10, 2006.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.